

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Terrence SICK et al. **GROUP:** 3693
APPLICATION: 09/965,071 **EXAMINER:** S. Chandler
FILED: September 27, 2001 **CONFIRMATION:** 2718
FOR: **COMPUTER-BASED SYSTEM AND METHOD FOR SEARCHING AND SCREENING
FINANCIAL SECURITIES AND RELEVANT INTELLECTUAL PROPERTY**

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INTERVIEW SUMMARY UNDER 37 CFR 1.133

In response to the Examiner's Interview Summary dated June 7, 2010, for a telephonic interview held on May 21, 2010, Applicants respectfully resubmit this summary.

The undersigned attorney briefly spoke with Examiner Chandler on May 21, 2010 in a telephonic discussion regarding outstanding claim formalities. The telephone discussion was in response to an exchange of telephone messages and e-mail messages occurring between May 12, 2010 and May 18, 2010. A prior exchange of draft claims via e-mail resulted in a question relative to a claim preamble. As part of the communications on May 21, 2010, the undersigned attorney also provided the Examiner with arguments as to why the claims were allowable. No agreement was reached, but the Examiner noted that the proposed claim amendments and arguments would be considered.

This summary is being submitted in conjunction with a response to the office action including the Examiner's Amendment and Notice of Allowability dated June 7, 2010. In the event that additional fees are required as a result of this response, including fees for extensions of time, such fees should be charged to USPTO Deposit Account No. 50-2737 for Basch & Nickerson LLP.

In the event the Examiner considers personal contact advantageous to the timely disposition of this case, the Examiner is hereby authorized to call Applicant's attorney, Duane C. Basch, at Telephone Number (585) 899-3970, Penfield, New York.

Respectfully submitted,

/Duane C. Basch, Esq. Reg. No. 34,545/

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